Alveolar Ridge and Maxillary Sinus Augmentation Using rhBMP-2: A Systematic Review

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ABSTRACT

Purpose: The aim of this systematic review was to evaluate clinical and safety data for recombinant human bone morphogenetic protein-2 (rhBMP-2) in an absorbable collagen sponge (ACS) carrier when used for alveolar ridge/maxillary sinus augmentation in humans.

Materials and Methods: Clinical studies/case series published 1980 through June 2012 using rhBMP-2/ACS were searched. Studies meeting the following criteria were considered eligible for inclusion: >10 subjects at baseline and maxillary sinus or alveolar ridge augmentation not concomitant with implant placement.

Results: Seven of 69 publications were eligible for review. rhBMP-2/ACS yielded clinically meaningful bone formation for maxillary sinus augmentation that would allow placement of regular dental implants without consistent differences between rhBMP-2 concentrations. Nevertheless, the statistical analysis showed that sinus augmentation following autogenous bone graft was significantly greater (mean bone height: 1.6 mm, 95% CI: 0.5–2.7 mm) than for rhBMP-2/ACS (rhBMP-2 at 1.5 mg/mL). In extraction sockets, rhBMP-2/ACS maintained alveolar ridge height while enhancing alveolar ridge width. Safety reports did not represent concerns for the proposed indications.

Conclusions: rhBMP-2/ACS appears a promising alternative to autogenous bone grafts for alveolar ridge/maxillary sinus augmentation; dose and carrier optimization may expand its efficacy, use, and clinical application.

KEY WORDS: alveolar ridge augmentation, BMP, bone morphogenetic proteins, bone regeneration, maxillary sinus augmentation

INTRODUCTION

Inadequate alveolar ridge height and width due to resorption/remodeling following tooth loss, periodontal

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disease, or trauma pose a considerable challenge for prosthetic reconstruction. Several surgical techniques alone or in combination with autogenous bone preparations, cadaver-sourced, and synthetic bone biomaterials have, with mixed results, been proposed to overcome such alveolar ridge aberrations.¹ More recently, protein and cell-based technologies have been introduced in an effort to improve the native regenerative potential of patients in need of bone augmentation for dental implant-anchored prosthetic reconstructions.^{1,2}

Bone morphogenetic proteins (BMPs), members of the transforming growth factor- β superfamily, were identified following the seminal work by Dr. Marshall Urist demonstrating ectopic and orthotopic bone formation following implantation of demineralized bone matrices using a variety of animal platforms.³ Since then, several BMPs have been purified, cloned,

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and characterized as having important roles in cartilage and bone formation.^{4,5} Recombinant technology has been employed to produce BMPs in pharmacologicalrelevant quantities to support bone formation for orthopedic indications.⁶ Recombinant human BMP-2 (rhBMP-2) in an absorbable collagen sponge (ACS) carrier was approved in 2002 by the FDA for orthopedic indications including spine fusion and long bone fracture repair.7 rhBMP-2/ACS was approved for oral/ maxillofacial indications including alveolar ridge and maxillary sinus augmentation in 2007. rhBMP-2/ACS has since reached increasing acceptance in dentistry. Anecdotal information shows evidence of an increasing off-label use also including intraoral indications as well as combining rhBMP-2 with alternative carriers or bulking agents.^{8–11} The aim of this systematic review was to evaluate clinical and safety data for rhBMP-2/ACS when used for alveolar ridge and maxillary sinus augmentation in humans.

MATERIAL AND METHODS

Search Strategy

The MEDLINE (PubMed) database was searched from 1980 through June 2012 for clinical studies evaluating the use of rhBMP-2 for alveolar ridge and maxillary sinus augmentation. The search strategy included a combination of the following MeSH terms: "bone morphogenetic proteins," "BMP," "alveolar ridge augmentation," "bone regeneration," and "maxillary sinus augmentation." Cochrane Central Register of Controlled Trials, ClinicalTrials.gov, EMBASE, SciELO, and LILACS were also searched using similar strategy. The search was limited to human studies and the following study types: clinical trials, controlled clinical trials, randomized controlled trial (RCT), case reports, clinical trial phase I, II, III, and IV. Only studies published in the English, German, Spanish, or Portuguese languages were considered.

The electronic search was supplemented using a "hand" search including Journal of Periodontology, Clinical Oral Implants Research, The International Journal of Oral and Maxillofacial Implants, The Journal of Oral Implantology, Journal of Oral & Maxillofacial Surgery, Journal of Clinical Periodontology, Clinical Implant Dentistry and Related Research, and The International Journal of Periodontics & Restorative Dentistry. Additionally, references of the articles identified during the electronic and review articles on the subject were also reviewed.

Selection Criteria

Study eligibility was assessed independently by three reviewers (R.M.F., R.S.N., and C.S.) based on the publication title and abstract. All eligible publications and studies which eligibility could not be determined were reviewed. Publications were selected by consensus if all the following criteria were met: >10 subjects at baseline, maxillary sinus/alveolar ridge augmentation not concomitant with implant placement, estimates of new bone formation.

Data Collection and Analysis

Data were independently extracted by three reviewers (R.M.F., R.S.N., and C.S.), and the findings were compiled in descriptive tables. A statistical analysis combining results from two randomized clinical trials^{12,13} was conducted using a fixed effect model and Cohen's method for the standardized mean difference and 95% confidence intervals. Mean differences, standard deviations, and *p* values were calculated for the study by Fiorellini and colleagues¹⁴ using the no treatment group as a reference.

RESULTS

Search Results

Fifty-eight publications identified were using MEDLINE and eleven additional references using alternate search strategies (Figure 1). Upon screening, eight of 26 publications were selected for further review. One article¹⁵ was excluded for being a subanalysis of another study¹⁴ already included in the review. After consensus among reviewers (R.M.F., U.M.E.W., and C.S.), six studies in seven publications were identified and summarized in Tables 1 and 2. One publication¹⁶ represented a 36-month follow-up of a previous study.¹⁷ Two studies (in three publications)¹⁶⁻¹⁸ were classified as case series and four studies^{12-14,19} as RCTs. Both case series included 12 subjects, and the RCT sample size ranged from 22¹⁹ to 160¹³ subjects. Three studies^{14,16,19} had less than a 1-year follow-up, and three studies^{12,13,16,17} had 36-month follow-ups. Dose range varied considerably within and between studies and treatment indications.



Figure 1 Flowchart of the search strategy.

Maxillary Sinus Augmentation

Maxillary sinus augmentation using rhBMP-2/ACS inlays was evaluated in four studies.^{12,13,18,19} Three rhBMP-2 concentrations (0.43, 0.75, and 1.5 mg/mL) were used. The mean rhBMP-2 dose ranged between 2.9 and 20.8 mg per site.

rhBMP-2/ACS yielded clinically meaningful new bone formation for maxillary sinus augmentation – new bone height ranging between 7.8 and 10.2 mm (Table 1). No consistent differences in bone formation could be observed among rhBMP-2 concentrations. The statistical analysis showed that new bone height following autogenous bone grafting was 1.6 mm greater than for rhBMP-2/ACS (Table 2). Autogenous bone grafts yielded comparatively enhanced bone formation over rhBMP-2/ACS in sites with initial bone height ≤4 mm (mean difference: 2.3 mm; 95% CI: 0.6–4.0 mm, p = .008) and in sites with initial bone height ≤6 mm (mean difference: 2.4 mm; 95% CI: 0.7–4.0 mm, p = .005); however, no differences were observed for sites with an initial bone height ≥6 mm (mean difference: 1.3 mm; 95% CI: -0.02-2.6, p = .05).¹³ Bone density at 4 to 6 months was significantly greater for the autogenous bone graft, whereas bone density was greater for rhBMP-2/ACS following functional loading (Table 2).

No major histological differences were observed among core biopsies from the experimental groups (Table 1). Residual ACS was not observed. rhBMP-2/ ACS induced woven and lamellar bone with cell-rich fibrovascular marrow. A limited number of osteoblasts and osteoclasts were observed. No or minor inflammatory infiltrates were seen.

Alveolar Ridge Augmentation

Alveolar ridge augmentation using rhBMP-2/ACS as alveolar ridge onlays and extraction socket inlays was evaluated in two case series summarized in Table 3.^{14,16,17} Three rhBMP-2 concentrations – 0.43, 0.75, and 1.5 mg/mL – were used. Mean rhBMP-2 dose ranged from 0.3 to 1.9 mg.

In one case series, two groups of six subjects each receiving rhBMP-2 at a wide dose range for alveolar

Sumuration (1997) (1997) (2005) (2009) (2009)

		Weighted Mean Difference*	95% Cor Inter	ifidence rval	% Weight	p Value
New bone height (mm)	Boyne et al. 2005 (<i>n</i> = 30)	1.1	-2.0	4.3	12.4	
	Triplett et al. 2009 (<i>n</i> = 160)	1.6	0.4	2.8	87.6	
	Overall $(n = 190)$	1.6	0.5	2.7	100.0	0.006
New bone density (mg/cc)	Boyne et al. 2005 (<i>n</i> = 30)	213.0	75.9	350.1	6.3	
	Triplett et al. 2009 (<i>n</i> = 160)	83.0	47.4	118.6	94.0	
	Overall $(n = 190)$	91.2	56.8	125.6	100.0	0.0001
New bone density post-functional	Boyne et al. 2005 (<i>n</i> = 30)	-60.0	-190.4	70.4	8.3	
loading (mg/cc)	Triplett et al. 2009 (<i>n</i> = 160)	-60.0	-99.3	-20.7	91.7	
	Overall $(n = 190)$	-60.0	-97.6	-22.4	100.0	0.002

TABLE 2 Statistical Analysis of Radiographic Observations Comparing Autogenous Bone Graft with rhBMP-2/ ACS (rhBMP-2 at 1.5 mg/mL) for Maxillary Sinus Augmentation

*Positive estimates favor autogenous bone graft; negative estimates favor rhBMP-2/ACS.

ridge or extraction socket augmentation were followed for up to 36 months.^{16,17} The lack of controls complicates the interpretation of the results; nevertheless, only limited new bone formation was observed.

Fiorellini and colleagues in a large study demonstrated that rhBMP-2/ACS inlays (rhBMP-2 at 1.5 mg/ mL) maintained the alveolar ridge height at extraction socket sites, whereas sites that did not receive treatment lost 1.2 ± 1.2 mm.¹⁴ Compared with no treatment, new bone width at the subcrestal $(2.0 \pm 2.9 \text{ mm})$ and midcrestal $(2.8 \pm 2.7 \text{ mm})$ levels was significantly greater following application of rhBMP-2/ACS (rhBMP-2 at 1.5 mg/mL; p < .05). ACS alone and rhBMP-2/ACS (rhBMP-2 at 0.75 mg/mL) displayed intermediate performances compared with no treatment and rhBMP-2/ACS (rhBMP-2 at 1.5 mg/mL).

Safety

Safety data were reported in five of six studies (Table 4).^{12–14,16–18} Four of 176 (2.3%) subjects receiving rhBMP-2/ACS displayed rhBMP-2 antibodies.^{12–14,17,18} Thirty-one of 136 (22.8%) subjects receiving ACS alone or in combination with rhBMP-2 displayed bovine type I collagen antibodies.^{12,13,17,18} Twenty-eight of 96 (29.2%) subjects receiving autogenous bone graft alone or in combination with biomaterials also showed bovine type I collagen antibodies.^{12,13} One study reported antibody for bovine type I collagen in 11 subjects; however, the authors did not distinguish between experimental groups.¹⁴ Clinical adverse events included, but were not limited to, reversible edema, pain, sensory loss, and

erythema. In all cases, safety concerns were considered transient to not affect the treatment outcomes.

DISCUSSION

This systematic review assessed the available clinical evidence on the use of rhBMP-2/ACS for alveolar ridge/ maxillary sinus augmentation. Of 69 identified publications, seven reports meeting inclusion criteria were reviewed. Although three rhBMP-2 concentrations (0.43, 0.75, and 1.5 mg/mL) were used, the absolute dose received at different sites for the same indication varied considerably. rhBMP-2/ACS yielded less vertical bone formation than autogenous bone for maxillary sinus augmentation; nevertheless and importantly, clinically relevant bone formation allowing implant placement was achieved for both treatments. In extraction sockets, rhBMP-2/ACS maintained alveolar ridge height while enhancing alveolar ridge width in a dose-dependent order. Induced new bone was histologically compatible with the resident alveolar bone as did bone formed following autogenous bone grafting. No major adverse events were reported; nevertheless, treatment with rhBMP-2/ACS was associated with transient signs of local inflammation.

Only a few industry-sponsored RCTs linked to the FDA approval process for rhBMP-2/ACS for craniofacial indications including maxillary sinus and alveolar ridge augmentation have been reported.^{14,16,17} Also, a few case series have been reported using the rhBMP-2/ ACS as a treatment option beyond indications approved by the FDA.^{8–11} Most of the subjects treated

TABLE 3 Summary Data	of Studies Evaluating rhBMP-2/A	ACS for Alveolar Ridge Augmentation (Mea	ns ± SD)		
Author Study Type Sample Size Follow-up Indication	Intervention/Dose (Sample Size per Group)		Results		
Howell et al. (1997) & Cochran et al. (2000) Case series 12 subjects	rhBMP-2/ACS 0.43 mg/mL Mean dose: Alveolar ridges: 0.8 mg (range 0.2–1.8 mg)	Clinical assessment at 16 weeks: Alveolar ridges: socket width fill 4.9 ± 2.4 mm. 10.4 ± 6.6 mm Extraction sockets: bone height gain -0.8 ± 2.5	socket length fill $3.7 \pm 2.1 \text{ mm}$ i mm, bone width $0.4 \pm 0.9 \text{ mm}$	m, and socket depth f m, and bone length 1	ill 2 ± 1.3 mm
16 weeks and 36 months Alveolar ridge (6 subjects) and extraction socket (six subjects) augmentation	Extraction sockets: 0.3 mg (range 0.2–0.9 mg)	Radiographic findings at 16 weeks (CT scan): Alveolar ridges: bone height gain 0.3 mm (959 (95% CI: 86.9–410.4 mg/cc). Statistically sig dose and bone height	6 CI: 0.9–1.6 mm); bone densi nificant linear dose-response 1	ity increase 248.3 mg relationship between	/cc rhBMP-2
		0.2–0.7 mm); bone density increase 21.6 mg relationship between rhBMP-2 dose and rac Radiographic findings at 8 to 12 months post-lo	/mL (95% Cl: 2.0-2.3 mm); 00ne w /mL (95% Cl: 21.3-64.5 mg/n liographic parameters observe dding (perianical x-rav):	vidui gan 0.2 mm (9 mL). No dose-respon ed.	se CLI:
		Alveolar ridges: mean crestal bone loss amoun Extraction sockets: crestal bone loss >2 mm Histological analysis at 16 to 30 weeks:	ting to 1.4 and 1.8 mm for me	esial and distal sites, i	respectively.
		Alveolar ridges $(n = 5)$: no residual AUS, varia and osteoclasts, moderate numbers of capill Extraction sockets $(n = 2)$: no residual ACS, va variable number of osteoblasts and osteocla	ole amount of woven bone, lin aries, limited inflammation riable amount of woven bone. sts, moderate number of capil	mited number of oste , frequent bone remo llaries, limited inflam	oblasts deling, mation
Fiorellini et al. (2005) Randomized clinical trial 80 subjects 16 weeks Alveolar ridge augmentation	rhBMP-2/ACS 0.75 mg/mL ($n = 20$) rhBMP-2/ACS 1.5 mg/mL ($n = 20$) ACS alone ($n = 20$) No treatment ($n = 20$) mean dose: 0.9 and 1.9 mg/site for	New bone height (mm)* New bone width at subcrestal level (mm)* New bone width at midcrestal level (mm)*	NoACStreatment $-1.2 \pm 1.2^{\text{A}}$ $-1.2 \pm 1.2^{\text{A}}$ $0.6 \pm 2.6^{\text{A}}$ $0.8 \pm 1.4^{\text{AB}}$ $1.6 \pm 2.5^{\text{A}}$ $1.8 \pm 1.7^{\text{AB}}$	rhBMP-2/ACS rhl 0.75 mg/mL 1 $-0.6 \pm 1.4^{AB}0.18 \pm 1.7^{AB} - 0.2.5 \pm 1.4^{AB} - 0.18 \pm 1.7^{AB} - 0.18 \pm 1.7^{AB} - 0.18 \pm 1.18 \pm 1.18^{AB} - 0.18 \pm 1.18^{AB}$	3MP-2/ACS .5 mg/mL).02 ± 1.2 ^{BC} 3.3 ± 2.5 ^C 3.97 ± 2.5 ^C
following tooth extraction	rhBMP-2 at 0.75 and 1.5 mg/mL, respectively	New bone width at apical crestal level (mm)* <u>Histological findings:</u> trabecular bone (remodelin osteoblasts (small to moderate quantity), few no inflammation sign	1.7 \pm 2.1 ^A 1.8 \pm 1.5 ^A ig from woven to lamellar was or no osteoclasts, vascular unr	2.3 ± 1.1 ^A s most common), act emarkable, no ACS, <i>a</i>	2.7 ± 1.4^ ive ınd
*Estimates followed by the same c	apital letter were not statistically different ((p < 0.05).	-		

TABLE 4 Safety Dat	a of Studies Evalu	ating rhBM	P-2/ACS					
Author		Immunol	ogical Findings			Clinical A	vdverse Events	
Howell et al. (1997)	Antibodies for rhBN I collagen were n	MP-2, bovine ot detected	type I collagen and	human type	Erythema (some sub cases at 4 and 12 and sensory loss (<u>Undesirable outcom</u> but for two subjec	jjects, mostly betw weeks), edema (ni one subject at 2 ai <u>e:</u> augmented site tts, surgical site be	reen 2 and 5 days postine subjects at 2 and 5 nd 5 days postsurgery) hard to palpation at 4 ccame soft to palpation	urgery, but two days postsurgery), weeks (all subjects), at 16 weeks
Boyne et al. (1997)	Antibodies for rhBN One subject was F	MP-2 and hur positive for ar	nan type I collagen nti-bovine type I co	were not detected. llagen antibody.	Oral pain (eight sub sinusitis (two subj	jects), facial edem jects), and ecchym	a (six subjects), rhiniti 10sis (two subjects)	s (four subjects),
Cochran et al. (2000)	Not reported				Oral pain (10 subjec and infection (two	ts), oral erythema o subjects)	t (three subjects), coliti	s (one subject),
Boyne et al. (2005)	rhBMP-2 Bovine collagen	Bone graft 3	rhBMP-2/ACS 0.75 mg/mL - 2	rhBMP-2/ACS 1.5 mg/mL 2 4	Edema Facial edema Rash	Bone graff 5 6	rhBMP-2/ACS 0.75 mg/mL 7 2	rhBMP-2/ACS 1.5 mg/mL 14 1
Fiorellini et al. (2005)	Antibody to rhBMP Anti-bovine type occurrence was ne	-2 and huma I collagen an ot specified to	in type 1 collagen w tibodies present in o experimental grou	as not detected. 11 subjects – 1ps	Adverse event*: oral oral erythema (46 experimental grou	edema (75% subj % subjects) – occ 1ps.	ects), mouth pain (68º urrences were not spec	% subjects), and ified by
Triplett et al. (2009)	rhBMP-2 Bovine collagen	Bone graft 25	rhBMP 1.5 m 2 24	-2/ACS g/mL	Undisclosed number pain, sensory loss, of subjects at 6 m Incidence of facial ee than autogenous l	t of subjects receiv , and gait disturba onths postsurgery dema was higher a bone graft ($p = .04$	ring autogenous bone { nce. Sensory loss* was : among subjects receivii (8)	still present in 17% still present in 17% ng rhBMP-2/ACS
Kao et al. (2012)	Not reported				No postsurgery com	plications		

*Only percent of subjects with adverse events was provided. rhBMP-2, recombinant human bone morphogenetic protein-2; ACS, absorbable collagen sponge.

in these case series or case reports were successfully rehabilitated; however, additional bone augmentation was necessary in some studies.^{9,16} These clinical results validate preceding preclinical work demonstrating rhBMP-2/ACS de novo bone formation in the axial and appendicular skeleton.^{20,21} For craniofacial indications, the bone inductive functionality of rhBMP-2/ACS has been evaluated in calvarial critical-size defects in rodents,^{22,23} segmental mandibular defects in dogs²⁴ and nonhuman primates,²⁵ supraalveolar onlay defects in dogs,²⁶⁻²⁸ peri-implantitis defects in nonhuman primates,²⁹ as well as for maxillary sinus augmentation using nonhuman primate³⁰ and minipig platforms.³¹ Long-term evaluation of rhBMP-2/ACS-induced bone revealed an increase in bone density after functional loading.^{12,13} Similar results have been shown in preceding studies following 12 months of functional loading using a dog model.³²

Different rhBMP-2 concentrations (0.43, 0.75, and 1.5 mg/mL) providing a wide dose range were used in the clinical studies. Side-by-side comparisons for rhBMP-2 at 0.75 versus 1.5 mg/mL were available in two studies.^{12,14} However, different total doses were used independent of concentration relative to indication, defect characteristics, and clinician intuition or experience complexing interpretation of the results. Although no marked differences were observed between rhBMP-2 at 0.75 and 1.5 mg/mL for maxillary sinus augmentation,¹² a dose-effect relationship was reported for extraction socket alveolar ridge augmentation.¹⁴ In perspective, preclinical studies conducted in our laboratory clearly demonstrate an inverse dose-effect relationship indicating that above a yet unknown optimal dose, rhBMP-2 negatively influences bone formation and delays bone maturation.^{33,34} These findings strongly call for research on dose optimization for effective treatments in clinical settings.

rhBMP-2/ACS has been proposed as an alternative to autogenous bone grafts and surrogate bone biomaterials. Two studies reported that the use of autogenous bone alone or in combination with bone biomaterials yielded greater bone formation than rhBMP-2/ACS for maxillary sinus augmentation.^{12,13} These clinical observations contrast histological observations from a highly standardized preclinical study in the minipig concluding that "rhBMP-2/ACS induces bone of superior quality compared with an iliac crest particulate autogenous cancellous bone graft when used for maxillary sinus augmentation, and should perhaps be considered the new standard for this indication."31 Moreover, harvesting bone from extraoral sites incurs a secondary major surgical procedure with associated risks and costs, whereas intraoral sources of autogenous bone are limited. Regardless of source, bone harvesting is associated with postsurgery pain, edema, nerve, and soft tissue injury.35-37 Although new bone formation for autogenous bone exceeded that following rhBMP-2/ACS by 1.6 mm (weighted average) for maxillary sinus augmentation, final bone height (combined residual alveolar ridge and new bone) was frequently greater than 10 to 12 mm irrespective of the initial ridge height and protocol allowing implant placement. From a clinical perspective, these results indicate that implants could be placed into the augmented maxilla without major restrictions. In perspective, bone biomaterials have also been used as a surrogate to autogenous bone graft with mixed results for maxillary sinus augmentation³⁸ and extraction socket preservation.39

Safety is a major concern regarding the clinical use of biologics also including the use of rhBMP-2/ACS. Adverse effects related to on- and off-label rhBMP-2/ ACS use for spine surgery have gained considerable attention.40-42 In comparison, rhBMP-2/AC safety data for craniofacial indications are limited to that reported in mostly industry-sponsored studies. Frequent postsurgery events include transient oral and facial erythema, edema, sensory loss, and pain, some subjects experiencing significant facial swelling. rhBMP-2 antibody formation appears a rare event with most individuals exhibiting antibodies to bovine type I collagen used in the carrier.^{12-14,18} In perspective, studies are needed to better assess rhBMP-2/ACS safety and efficacy to control/manage/preclude adverse events following application in craniofacial settings including alveolar ridge and maxillary sinus augmentation procedures. Also, such studies will likely focus on dose and delivery/release kinetics as well as alternative carrier technologies.

CONCLUSION

rhBMP-2/ACS appears a promising alternative to autogenous bone grafts for alveolar ridge/maxillary sinus augmentation; dose and carrier optimization may expand its efficacy, use, and clinical application.

CONFLICT OF INTEREST AND SOURCE OF FUNDING STATEMENT

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