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Effects of prolonged exposure to alkaline pH on *Enterococcus faecalis* survival and specific gene transcripts

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Introduction: The persistence of *Enterococcus faecalis* in treated root canals has been attributed to its resistance to the high pH of antimicrobial agents used during treatment, but the specific mechanisms are not clear. We investigated the survival and gene expression of *E. faecalis* maintained in alkaline media.

Methods: *E. faecalis* JH2-2 was maintained in media at pH 7, 10, 11 and 12 at either 25°C or 37°C for 1 week (168 h). At 24, 48, 72, 120 and 168 h, cell viability was

determined in parallel with real-time quantitative polymerase chain reaction analyses of stress response genes (*dnaK*, *fba*, *ftsZ*, *GroEL*, *napA*, *pbp5*, *tsf* and *tuf*).

Results: After 1 week the *E. faecalis* showed survival levels of 100% in pH 7, 1% in pH 10, 0.001% in pH 11 and 0.00001% in pH 12 media. At 37°C increased levels of gene transcripts occurred between 72 and 120 h in pH 7 media for *ftsZ* and *dnaK*, and in pH 10 media for *ftsZ*, *pbp5*, *dnaK*, *napA*, *tsf*, *fba* and *GroEL*. No increase in transcripts was observed at 37°C in media at pH 11 or pH 12, nor at 25°C in any media.

Conclusion: Transcripts of *ftsZ*, a gene involved in cell division, increased by 37-fold after 120 h at pH 10 at 37° C. Overall, the greatest increase in levels of gene transcripts occurred in cultures maintained in pH 10 media at 37° C. These data may assist in understanding the survival strategies of *E. faecalis* following prolonged exposure to alkaline pH levels.

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Key words: alkaline pH; *Enterococcus faecalis*; gene transcripts; prolonged exposure; survival

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Enterococcus faecalis is the species most frequently cultured from the root canals of teeth with failed root canal treatment (11, 33). Complete debridement of infected root canals may be unachievable because of the inaccessibility of sites of infection in the root, for example accessory canals that instruments and irrigants cannot reach (22). *E. faecalis* cells can reside within dentinal tubules (24, 29), and remain viable in root-filled teeth for at least 12 months (29). Various 'virulence' factors have been identified in *E. faecalis* strains from root canals (31).

The persistence of *E. faecalis* in treated root canals has been attributed to its ability to resist the high pH of the antimicrobial agents commonly used during treatment (26, 35), especially calcium hydroxide paste [Ca(OH)₂; pH > 11.5], for which placement in the root canal for 1 week has been recommended (32). The resistance of *E. faecalis* in root canals to intracanal Ca(OH)₂ treatment may be influenced by the buffering effects of dentine (9, 36) so that the high pH may not be achieved within the dentinal tubules.

The antimicrobial activity of $Ca(OH)_2$ relates to its release of hydroxide ions (8). An abundance of hydroxide ions can cause many problems in the aqueous environment of the cell. The destruction of phospholipids, structural components of the cellular membrane, can be caused by the induction of lipid peroxidation by hydroxide ions (10). Genes are destroyed when hydroxide ions react with bacterial DNA and cause the denaturation of the strands (13). When *E. faecalis* cells enter a 'starvation phase' they possess greater resistance to the antibacterial effect of Ca(OH)₂ (27), the mechanism for which has not been elucidated. The identification of genes expressed by *E. faecalis* cells in a prolonged stationary phase in alkaline pH might allow the eventual development of treatment protocols that can eradicate *E. faecalis* from infected root canals. In this study we examined the survival and targeted gene expression of *E. faecalis* maintained in media at pH 7, 10, 11 and 12 for 1 week.

Materials and methods Culture conditions and pH exposure

E. faecalis JH2-2 (14) was used in all experiments and grown aerobically at 37°C unless specified otherwise. JH2-2 was taken from storage at -80°C and grown on brain-heart infusion (BHI; Becton, Dickinson and Company, Sparks, MD) supplemented with 1.5% agar incubated for 24-48 h. A single colony was transferred to BHI broth and the culture was grown overnight. A 1 : 10 dilution in fresh BHI broth was grown to an optical density at 560 nm of 1.0, corresponding to mid/late log phase of growth. Then, 35-ml aliquots (triplicates for each pH) were pelleted, resuspended in 35 ml buffered BHI broth at pH 7, 10, 11 or 12 (23), and incubated at either 25°C or 37°C. [Buffered BHI broth was prepared using buffer solutions made by mixing 0.2 M KH₂PO₄ and 0.2 M KOH for pH 7, 0.2 M NaHCO₃ and 0.2 M K₂CO₃ for pH 10.0, 0.2 M Na₂HPO₄ and 0.2 M KOH for pH 11.0 and pH 12.0, respectively (23).] Absorbance and pH readings were taken and serial dilutions were plated in triplicate onto BHI agar plates at 0 (baseline), 24, 48, 72, 120

Table 1.	Genes	and	primers
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(for 37°C only) and 168 h and incubated at 37°C for 48 h. Colony-forming units per ml were enumerated after 48 h.

RNA extraction and preparation

Extraction of RNA was performed for the pH 7, 10 and 11 cultures at each time interval; there was insufficient RNA available from the pH 12 samples. Total RNA was isolated as follows. Five milliliters of E. faecalis cells in suspension were placed in a 15-ml test tube and centrifuged (2500 g for 5 min at 4° C). The supernatant was carefully aspirated and the bacterial pellet was resuspended in 1.0 ml RNA later (Ambion, Austin, TX) and transferred to a 1.5-ml nuclease-free microfuge tube for overnight incubation at 4°C. RNA extraction was performed using the Ribo-Pure-Bacteria Kit (Ambion) with the minor modifications that follow. The cells were collected by centrifugation (2500 g, 10 min, 4°C). The supernatant was removed and cells were resuspended in 500 µl RNAwiz (Ambion). Cells were lysed by homogenization in a Mini Beadbeater-8 (Biospec Products, Bartlesville, OK) for 5 min followed by 5 min on ice. The RiboPure-Bacteria Kit protocol was followed thereafter, including the optional DNase I treatment, according to the manufacturer's protocol. A 1:50 dilution of RNA in 10 mM Tris-HCl (pH 8.0) was quantified using a Beckman Coulter DU 640 spectrophotometer (Beckman Coulter, Fullerton, CA). Substocks of 6 ng/µl were prepared and used for subsequent reverse transcription-polymerase chain reaction (RT-PCR) procedures.

Primers

Genes and primers are listed in Table 1; genes generally believed to be involved in the stress response were selected for evaluation (6, 12, 19, 20, 37). The nucleic acid sequences for the genes were obtained by searching the NCBI gene database (http://www.ncbi.nlm.nih.gov/). Unless otherwise reported, primers for this study were created using the PRIMERQUEST program on the Integrated DNA Technologies website (http://scitools.idtdna.com/ Primerguest/). Each primer set returned by the program was tested for homology to other genes using the BLAST nucleotide algorithm (1).

Reverse transcription-polymerase chain reactions

RT-PCR was performed using an Eppendorf Mastercycler (Brinkmann Instruments, Inc., Westbury, NY). Approximately 30 ng RNA was placed in a 0.2-ml PCR tube and the volume was brought to 5 µl with diethylpyrocarbonate-treated water. The tubes were incubated at 70°C for 5 min to eliminate the secondary structure from the RNA. After incubation, 5 pmol/primer of gene-specific primers, 1X RT buffer (Invitrogen, Carlsbad, CA), and diethylpyrocarbonate-treated water were added for a volume of 17 µl. Ramp-down cycles were run for 1 min each to cover the annealing temperatures of all the gene-specific primers. Ten millimoles dithiothreitol (Invitrogen), 200 µM deoxynucleotide triphosphates (dNTPs; Invitrogen) and 100 U Superscript III reverse transcriptase (Invitrogen) were added to the tubes for a final

Gene	Gene function	Primer	Primer sequence	Product size (bp)	Reference
E. faecalis 16S	Identification (ribosomal RNA)	Ef16SF	5'-ccgagtgcttgcactcaattgg-3'	138	(30)
	. , , , ,	Ef16SR	5'-ctcttatgccatgcggcataaac-3'		
dnaK	Protein refolding and degradation	dnaKF	5'-ggaacaacaaactcagc-3'	1022	(19)
		dnaKR	5'-ccttggattgcagcaccc-3'		
fba	Glycolysis, gluconeogenesis	fbaF 588	5'-aaacatcaatgggcgctg-3'	150	This study*
-		fbaR 738	5'-agaaccgacagcttcagc-3'		-
ftsZ	Cell division	ftsZF203	5'-tggtttaggtgccggttcac-3'	788	This study*
		ftsZR 991	5'-tgtcttgtttgacggtgcgg-3'		
GroEL	Protein refolding and degradation	GroELF 288	5'-gccattgttcgtgaaggc-3'	158	(19)
		GroELR 446	5'-cggccttcagcttctagcgc-3'		
napA	Na ⁺ /H ⁺ antiporter	napAF 492	5'-tgtcggcatgattggtgc-3'	347	This study*
		napAR 839	5'-agtctggcaaagaagacg-3'		
pbp5	Peptidoglycan synthesis	pbp5F	5'-catgcgcaattaatcgg-3'	444	(20)
		pbp5R	5'-catagcctgtcgcaaaac-3'		
tsf	Protein synthesis	tsfF 446	5'-aagatgttgctatgcacatcgcgg-3'	114	This study*
-	-	tsfR 560	5'-cgaatgatttcacttcgccgcc-3'		-
tuf	Protein synthesis	tufF 698	5'-aacgtggtgaagttcgcg-3'	379	This study*
-	-	tufR 1077	5'-agcaacgttatcaccagg-3'		-

Primer sequences were obtained from the TIGR V583 genome sequence (http://www.ncbi.nlm.nih.gov/).

*Primers created for this study used the PRIMERQUEST program on the Integrated DNA Technologies website (http://scitools.idtdna.com/Primerquest/).

reaction volume of 20 μ l. For negative RT controls, Superscript III reverse transcriptase was replaced with diethylpyrocarbonate-treated water. Reactions were incubated at 42°C for 75 min followed by 65°C for 15 min.

For second-strand synthesis to check for DNA contamination, 4 µl RT product was placed in a 0.2-ml PCR tube; then 1X PCR buffer (Oiagen, Valencia, CA), 100 µM dNTPs (Invitrogen), 1.5 U Tag polymerase (Qiagen), 6 pmol of each primer and nuclease-free water were added to a volume of 30 µl per reaction. The reactions were incubated at 95°C for 5 min, followed by 35 cycles of 94°C for 20 s, a primer-dependent annealing temperature (range 51-66°C) for 45 s, and 72°C for 10 s to 1 min (duration dependent on length of PCR product, 30 s/500 base pairs, Table 1). Twenty microliters of PCR product was mixed with 2 µl loading buffer (1 g sodium dodecyl sulfate, 100 mg bromophenol blue, 40 ml 0.25 M disodium ethylenediaminetetraacetic acid, 10 ml distilled H₂O, 50 ml glycerol) and electrophoresed on a 1.0% agarose gel containing ethidium bromide and visualized under ultraviolet light.

Real-time quantitative PCR

The real-time quantitative PCR (qPCR) assays were performed with an ABI Prism 7700 Sequence Detection System (Applied Biosystems, Foster City, CA). The reactions were conducted in triplicate for each gene and consisted of the following components: 2 µl of RT product; 3 µl 10X PCR buffer (Qiagen); 2 Units Taq DNA polymerase (Qiagen); 100 µM dNTPs (Invitrogen); 0.5X SYBR Green I dye (Applied Biosystems) (TE buffer, pH 8.0); 6 pmol of each primer; 2.5 mM MgCl₂ (Qiagen); 3% dimethylsulfoxide; and nuclease-free H₂O for a final volume of 30 µl. The efficiency of cDNA synthesis was standardized by using the same amount of cDNA template in the assays. Optimization of concentrations of MgCl₂, dimethylsulfoxide and primers has been previously described (30). The reaction cycle conditions were as described above. Negative controls (no DNA template) and negative amplification controls were prepared in triplicate alongside the experimental total DNA samples to normalize for any background signal obtained following amplification. At the completion of each assay, DNA melting curves were used to check for primer dimers or any nonspecific PCR products. To verify the sequences of the amplified products,

sequencing and nucleotide sequence alignments were performed on a random selection of three to four products per gene.

Data normalization

A primer efficiency-corrected comparative $\Delta C_{\rm t}$ method was used for relative quantification. Raw threshold cycle (C_t) values were normalized based on primer efficiency determinations as follows. A standard curve was generated for each primer set using C_t values obtained from amplification of 10-fold serial dilutions of E. faecalis DNA ranging from 1 ng/µl to 100 fg/µl using the same components and quantitative PCR conditions as previously described. The lowest line estimate value for the eight primer sets was used to calculate normalization values. For each primer set the normalization value was then applied to the raw C_t values for that gene. Thereafter, primer efficiency-normalized C_t values were then calibrator normalized to the designated endogenous reference (in this case, the reference was time zero). Fold changes in relative levels of transcripts were determined at each incubation interval for each pH at each temperature, using the formula $2^{-\Delta\Delta C_t}$, where the first Δ was based on the primer efficiency and the second was based on the difference between the target at a specified time-point and time zero. A fold change of <-2 and >2 was considered to represent a real difference in levels of transcripts.

Results

Cell viability in alkaline environments

Viable cell counts were obtained from culturing on BHI agar after exposure at 25°C or 37°C at various pH values and time-points (Fig. 1). Viable counts at time zero corresponded to $\sim 1.0 \times 10^9$ colony-forming units/ml. After 1 week in medium at pH 7, viable counts remained at or above 1.0×10^9 colony-forming units/ml. The approximate percentage survival of cells compared to time zero after 1 week in alkaline media was 1% (pH 10), 0.001% (pH 11) and 0.00001% (pH 12). The pH of the buffered BHI media remained stable over the duration of the incubation periods (data not shown).

Gene expression

Table 2 shows the fold changes in levels of transcripts for experiments at 25° C and 37° C. At 25° C all genes showed a decrease in transcripts at all time intervals. In contrast, at 37° C when *E. faecalis* was

grown in media at pH 7 and pH 10, all genes except *tuf* showed an increased level of transcripts at one or more time intervals but never at 168 h. In the pH 7 media, increased transcripts occurred with *ftsZ* at 24 and 48 h, and with *dnaK* at 24 h. In pH 10 media, increased transcripts occurred with *ftsZ* at 24, 48, 72 and 120 h, *pbp5* at 24, 72 and 120 h, *dnaK* at 24 and 120 h, *napA* at 72 and 120 h, *tsf* at 72 and 120 h, *fba* at 72 h and *GroEL* at 120 h. In pH 11 media at 37°C no increase in levels of transcripts was observed.

Discussion

When E. faecalis was grown at 37°C in media at pH 7 or pH 10, all the genes except tuf showed an increase in transcription level at one or more time intervals (but never at 168 h). The greatest increase in transcription level involved ftsZ at pH 10 at 37°C, which increased by 37-fold after 120 h. FtsZ is important in cellular division and may function as the universal prokaryotic division protein (21). It is interesting that despite a decrease in viability of two-orders of magnitude at pH 10 at 72 and 120 h (Fig. 1), there were corresponding 20-fold and 37-fold increases in levels of transcripts (Table 2). This surprising result appears counterintuitive and therefore remains the subject of further investigation. However, based on the proposed cytoskeleton functional homology between FtsZ and the eukaryotic protein tubulin (38), it could be speculated that the observed increase in ftsZ gene transcripts at pH 10 was associated with an increased cytoskeletal function in the remaining viable cells.

Overall, the greatest increase in levels of gene transcripts occurred in cultures maintained at 37°C in pH 10 medium. The observed increase of ftsZ, pbp5, dnaK, GroEL, napA and tsf gene transcripts in pH 10 cultures between the 72 and 120 h time-points at 37°C appeared to be associated with a slight increase in cultured cell growth during that time. In similar temperature conditions, levels of fba, ftsZ, pbp5 and tsf transcripts increased in the period between the 48 and 72 h timepoints at pH 10. Based on these data, these genes may be involved in growth recovery at these time intervals. Fba is known to play a role in central carbohydrate metabolic pathways (12) while DnaK and GroEL are known to be chaperone proteins that function in protein (re)folding and degradation (19). The penicillin-binding protein, Pbp5, which is involved in the terminal stages of peptidoglycan



Fig. 1. Viable cell counts in colony-forming units (CFU) per ml of *Enterococcus faecalis* after incubation at 25° C (top) and 37° C (bottom) over various time periods at pH 7, 10, 11 and 12.

synthesis, may aid in survival under alkaline conditions (20).

Increased levels of transcripts of tuf were not evident under any experimental condition. Similarly, Heim et al. (2002) reported that tuf mRNA was initially detected in the viable but non-culturable state, but not detected in samples taken later. The tuf gene product, EF-TU-GDP, promotes the binding of aminoacyl-tRNA to the ribosome and may interact with proteins in the transcriptional machinery to act as a positive regulator of RNA synthesis (37). The tsf gene transcripts increased only moderately at 72 and 120 h at pH 10 at 37°C. When analysing tsf and tuf gene expression, it would be expected that their expression might be linked because tsf mediates the reformation of the EF-Tu-GDP complex into its active form (12).

A possible explanation for the different levels of *tsf* and *tuf* gene transcripts observed may be that *tsf* recycling of EF-Tu-GDP is sufficient under stressful conditions, such as alkaline pH, and no new *tuf* need be transcribed.

A maximum incubation period of 1 week was used, based on established clinical protocols that recommend placement of intracanal Ca(OH)₂ dressings for 1 week to eliminate bacteria surviving biomechanical instrumentation of the root canal (32). At least 99% of *E. faecalis* cells could not be cultured at either temperature after 1 week in an alkaline environment (Fig. 1). However, the number of living organisms could be underrepresented. For instance, in some situations, sublethally damaged organisms are undetected (2). Conversely, genes encoding virulence determinants are expressed in cells that have lost the ability to be cultured (5). Interestingly, at 25°C, or room temperature, all the genes showed a decrease in level of transcripts at every time interval (Table 2). Other data for this temperature are not available for comparison. Highly reduced levels probably relate, at least in some cases, to cell death.

While the intracellular pH values of bacteria grown in alkaline environments are generally more acidic than their extracellular environment (3), at a pH above 8.0, Enterococcus hirae does not appear to possess a system that maintains a constant intracellular pH (17). Many factors could potentially contribute to the tolerance of alkaline pH found in enterococci, including activation of specific proton pumps, and specific enzymatic systems and/or buffering devices that help to keep the internal pH constant. For example, a functioning proton pump is critical to the survival of E. faecalis at high pH (6). Secondary Na⁺/H⁺ antiporters are widely distributed among bacteria and some species have been found to produce a primary sodium pump (15, 18). Enzymes capable of remaining active in alkaline conditions, for example the Na⁺-ATPase in E. hirae (16), could be required for bacteria to remain viable (7). In this study, levels of the Na⁺/H⁺ antiporter encoding NapA only increased at 120 h in pH 10 medium at 37°C, suggesting that the internal pH of the cells might have stabilized, but once the cells adapted, the increased expression of napA may have no longer been necessary.

In addition to transcription levels, the half-life of the RNA messages, the translation rates and the additional post-translational processing all contribute to gene expression. Since RNA is hydrolysed at alkaline pH (39), the data could reflect different degrees of 'protection' from hydrolysis at different pHs. Further, nucleases or other endogenous enzymes sensitive to pH, time, temperature or other uncontrolled variables may have been involved in modulating gene expression. Further studies are required to determine whether increased levels represent more synthesis or higher stability of transcripts, and whether there are variations in gene expression among E. faecalis strains.

When samples have been obtained over different time-courses, misinterpretation of the derived expression profile of the target genes is possible unless normalization of target gene expression levels is performed to compensate for sample-tosample and run-to-run variations (25). The

Table 2. Fold-change in level of gene transcripts compared to time zero

	Incubation	Genes							
	period (h)	dnaK	fba	ftsZ	GroEL	napA	pbp5	tsf	tuf
Temperatu	ire 25°C								
pĤ 7	24	-13	-693	-39	-394	-90	-492	-49	-249
I	48	-8	-517	-17	-284	-106	-208	-2145	-197
	72	-7	-209	-22	-133	-65	-177	-333	-99
	168	-9	-516	-11	-380	-25	-156	-18	-90
рН 10	24	-7	-94	-17	-70	-12	-77	-48	-17
	48	-5	-13	-8	-20	-16	-20	-24	-20
	72	-12	-19	-16	-31	-8	-25	-27	-15
	168	-16	-68	-9	-62	-2	-8	-20	-4
pH 11	24	-17	-341	-77	-260	-125	-194	-57	-288
	48	-177	-553	-343	-1394	-435	-1087	-17,805	-1230
	72	-625	-356	-526	-578	-206	-422	-13,170	-548
	168	-625	-630	-1894	-3204	-442	-555	-30,048	-798
Temperatu	ire 37°C								
pH 7	24	2	-1	29	-3	-2	-2	-11	-4
	48	-1	-3	3	-4	-7	-9	-11	-11
	72	-2	-3	-6	-9	-17	-3	-16	-10
	120	-3	-2	-1	-7	-2	-18	-9	-15
	168	-4	-2	-16	-25	-6	-6	-61	-20
рН 10	24	4	-1	6	1	-1	2	-1	-2
	48	-2	-2	2	-14	-5	-2	-6	-3
	72	1	3	20	-2	1	9	2	1
	120	4	1	37	3	9	10	3	-1
	168	-4	-3	1	-18	-4	1	-29	-8
pH 11	24	1	-3	-7	-6	-5	-10	-43	-9
	48	-10	-4	-11	-22	-5	-15	-50	-24
	72	-7	-2	-27	-116	-5	-6	-163	-14
	120	-9	-3	-19	-58	-11	-26	-50	-22
	168	-7	-1	1	-27	-9	-37	-176	-25

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A fold change of <-2 or >2 (bold) is considered to represent a real difference in levels of transcripts.

16S rRNA and glyceraldehyde-3-phosphate dehydrogenase genes have traditionally been used as 'housekeeping genes' for real-time qPCR quantification of gene expression, based on the assumption that these genes will be constitutively expressed. However, under many conditions, they are not constitutively expressed (4, 28, 34). For example, Richardson et al. (28) showed that 16S rRNA and glyceraldehyde-3-phosphate dehydrogenase expression in Actinobacillus actinomycetemcomitans is a dynamic process and adapts to different growth conditions. In the present investigations, the use of housekeeping genes was considered an unreliable option because of the wide range of experimental conditions. Therefore, the reliability of real-time qPCR was optimized by generating calibration curves for the primers, using identical amplification efficiencies for both the baseline target and the higher pH targets, and by using a standardized amount of cDNA template in the qPCR assays. Thereafter, relative expression levels of gene transcripts at pH 7, 10 and 11 relative to baseline at time zero were calculated using a primer efficiency-corrected comparative ΔC_t method.

The greatest increase in overall levels of gene transcripts, in particular *ftsZ*, was seen at 120 h in cultures maintained in

medium at pH 10 at 37°C. These data provide new information on the effect of prolonged exposure to highly alkaline pH on viability of E. faecalis in broth, and represent a first step in the process to identify proteins that might be important to the survival of E. faecalis in high pH environments. It is hoped that future studies can incorporate the rapid advances underway in DNA microarray technology and proteomics. Extrapolation of the present data to the clinical situation can only be speculative, in part because E. faecalis might exist in the root canal system as part of a polymicrobial biofilm as opposed to pure culture in prolonged stationary phase in broth in these studies. However, the present data may contribute towards better understanding the survival strategies of E. faecalis following prolonged exposure to pH levels similar to those encountered in root canals receiving intracanal Ca(OH)₂ treatment.

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