

Dent Clin N Am 46 (2002) 171-184

THE DENTAL CLINICS OF NORTH AMERICA

Conservative cavity preparations James B. Summitt, DDS, MS*

Department of Restorative Dentistry, University of Texas Health Science Center, Mail Code 7890, 7703 Floyd Curl Drive, San Antonio, TX 78229-3900, USA

Our ability to prevent the occurrence of dental caries and to restore cariously involved teeth through remineralization should relegate tooth restorations involving the cutting of teeth to the last position in the quest to preserve a healthy dentition. When the cutting of a tooth to remove carious lesions is necessary, there is growing evidence that, for long-term preservation of the tooth-restoration unit, tooth preparations should be just large enough to allow the removal of carious dentin, and that the long-honored precept of "extension for prevention" should be accomplished in a toothconserving way or not at all.

The profession appropriately reveres Greene Vardiman Black; he has been called the father of scientific dentistry. Dr. Black was an innovative and insightful teacher, researcher, and clinician who undoubtedly did more to bring about quality in restorative dentistry than any of his contemporaries or any of those who followed him. We should continue to honor the memory of Dr. Black and his tremendous accomplishments, but we should also view his contributions within the context of their time period. Dr. Black began to teach dentistry in 1870. He taught, conducted research, and published more than 500 articles and several textbooks within the 45-year period between 1870 and his death in 1915. He is quoted as saying to students in 1896, "The day is surely coming, and perhaps within the lifetime of you young men before me, when we will be engaged in practicing preventive, rather than reparative, dentistry." [1] Although his prophecy did not come to pass as soon as he believed, we have the science and technology today to enable us to practice true preventive dentistry.

Black's works in the area of operative dentistry were amazingly insightful and scientific for the time. But the profession has clung too much to Black's work, accomplished in the 1800s and early 1900s, and to the teachings of those who wrote succeeding editions of his textbook, *Operative Dentistry*. If Black

^{*} E-mail address: summitt@uthscsa.edu (J.B. Summitt).

^{0011-8532/02/\$ -} see front matter © 2002, Elsevier Science (USA). All rights reserved. PII: S 0 0 1 1 - 8 5 3 2 (0 1) 0 0 0 0 5 - 2

were alive today, with the advances in technologies and therapies, he would be leading us in their development and incorporation into our practices.

Dr. Miles Markley, who died in 2000, deserves much of the credit for bringing to the profession concepts of minimal intervention. From the 1930s until his retirement from practice in the 1970s, Dr. Markley used many of the preventive strategies available to us today. He also provided his patients with small restorations when the carious lesions were small. He worked to convince other practicing dentists and dental school faculties of the benefits to patients of this philosophy of minimal intervention. Dr. Markley used all of the proven restorative materials of the time for his patients, but he used them in ways that were conservative of sound tooth structure. This method was not the prevailing philosophy in dental practices of that day and was not the philosophy being taught in dental schools.

Nonsurgical treatment of caries

Because terms are used differently in the profession and in different parts of the country, some words used in this article should be defined. The term *groove*, as used herein, refers to the valley or channel that separates lobes or cusps of a posterior tooth. A *fissure* is a developmental cleft or defect in the enamel; it may extend only within enamel, or it may extend to dentin. At the base of a groove may be confluent, unfissured enamel, or there may be a fissure.

The detection of a carious lesion is only one aspect in the diagnosis of caries. A more important factor is the determination of caries activity. Caries activity is defined as occurring when attached dental plaque is causing demineralization in underlying tooth structure. [2] In both white spot lesions and areas of cavitation, tooth structure may be undergoing demineralization, or the lesion may be static or arrested. For a lesion involving only enamel or enamel and a slight amount of dentin, the lesion may be remineralized. Caries activity may be determined only by observation of a lesion over time, usually by comparison of radiographs or other recorded information about the status of the lesion. [2] The nonsurgical treatment of caries is extremely important, but it is not the topic of this article. It must be emphasized, however, that a preventive regimen should be used whenever caries activity is determined to be present. Surgical or invasive intervention should be avoided if possible, but when it is necessary, it should be as tooth conserving as possible, and a regimen to prevent future caries activity should be incorporated into the treatment.

Magnification

Although conservative tooth preparations may involve the replacement of cusps with a restorative material if it is necessary to prevent tooth fracture, many tooth-conserving restorations are small, as determined by the size of the situation being treated. To determine the extent of caries effect in a tooth and to limit tooth preparations to that necessitated by the lesion, magnification is beneficial and should be used.

Assessment and management of fissured tooth surfaces

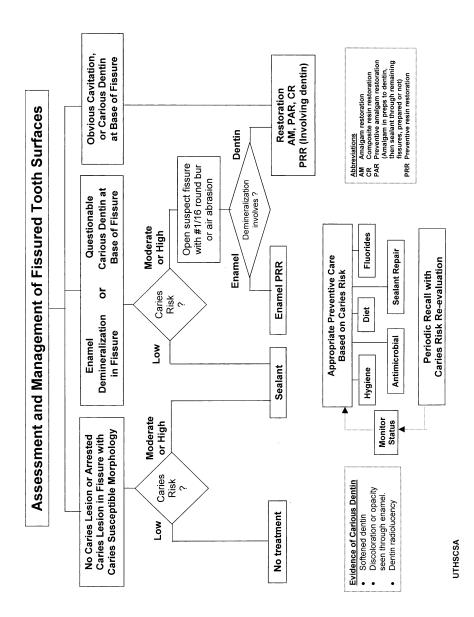
Management of fissures that are carious or subject to attack by caries in a patient at moderate or high risk for developing carious lesions has been a controversial subject. A decision tree (Fig. 1) was developed by the faculty of several departments at the University of Texas Health Science Center and was based on available evidence concerning management of fissured tooth surfaces. The caries risk status of the patient is as important as the findings of the clinical examination in determining the most appropriate treatment. Fissure sealants, as an effective way to prevent fissure caries, are supported by many controlled clinical studies and should be used as a preventive measure in patients with moderate or high caries risk.

Restoration

If the restoration to be performed is due to a carious lesion, unless it is a frank carious lesion, nonsurgical treatment should be used. One type of frank caries is displayed as cavitation, or the loss of tooth structure to form a cavity in the surface of the tooth. A cavity caused by dental caries is almost always associated with carious dentin at its base. Another type of frank carious lesion is carious dentin, detected radiographically inside the enamel layer or as softness in a root surface. Frank carious lesions, with the possible exception of shallow cavitations in free (facial or lingual) smooth surfaces, should be treated by removal of the carious tooth structure and placement of a restoration.

When surgical treatment is indicated, restorations should be conservative of tooth structure, preserving sound tooth structure and protecting or removing damaged tooth structure or tooth structure that has been weakened to the point at which it would not withstand function. In the design of cavity preparations for direct restorations, carious dentin and overlying, unsupported enamel, which is subject to fracture in function, should be removed, and a restorative material should be placed and shaped to duplicate the missing tooth structure. Extension of the preparation to dentin, through fissures that are not known to be carious, should not be accomplished.

When a fissure caries lesion is radiographically evident, the demineralization has involved dentin, and the lesion is likely more extensive than it appears to be in the radiograph. In 1998, Mertz-Fairhurst et al. [3] published the results of a significant 10-year study on restorative treatment of fissure caries. Mertz-Fairhurst and coworkers had treated radiographically evident fissure caries lesions—all involving radiographically evident carious dentin at the base of the enamel fissure—three different ways. In one group, the *traditional amalgam restoration group*, the preparations involved areas of carious dentin and extended through noncarious fissures as well. In that group, bases were placed in the deeper areas. In a second group, which the authors called the *sealed caries group*, they beveled the walls of the carious fissures to provide surfaces of nondemineralized enamel, left the carious



174

dentin in place, etched the enamel, and placed resin composite restorations. In a third group, which the authors called the *sealed amalgam group*, they gained access to the carious dentin, removed the carious dentin and overlying unsupported enamel, and placed amalgam restorations. In that group, remaining fissures and the margins of the restorations were etched and sealed with resin. At 10 years, 17% of the traditional amalgam restorations had failed, 14% of the sealed caries restorations had failed, and 2% of the sealed amalgam restorations had failed. There was no significant difference in performance between the traditional amalgam group and the sealed caries group, but the sealed amalgam restoration group had a significantly lower failure rate than the other groups.

The results of the Mertz-Fairhurst study indicate that amalgam restorations with outline forms determined by the extent of carious dentin and overlying unsupported enamel, with remaining fissures sealed, not only perform as well as traditional amalgam restorations but also fail at a significantly lower rate. Based on this study, traditional designs for amalgam restorations should be abandoned in favor of restorations in which only carious dentin and the overlying unsupported enamel have been removed before restoration and remaining fissures have been sealed.

There is ample evidence that any undetected carious dentin or cariogenic bacteria left at the base of a fissure that has been sealed with a resin fissure sealant will not cause the continuation of the caries process. In addition to the 1998 study by Mertz-Fairhurst et al., [3] there are several other studies that support the arrestment of the caries process when the fissures are sealed. [4–7]

The mechanical instrumentation of the occlusal aspects of fissures, to obtain nondemineralized, nonstained fissure walls for better bonding, is somewhat controversial. There is, however, evidence that this procedure has benefit in improving the performance of fissure sealants. A resin sealant does not attach as well to demineralized enamel fissure walls as to clean enamel. Xie et al. [8] demonstrated that etched sound enamel provided twice the bond strength to resin as etched demineralized enamel. Kramer et al. [9] demonstrated that viable bacteria counts in fissures that had been opened with a small bur, then etched and sealed, were reduced virtually to zero. Several clinical studies have demonstrated that there is some benefit to durability of resin fissure sealants from slightly opening the fissures before sealing. [10–13] In these studies, fissures were opened with a small bur to eliminate demineralized enamel, stains, and debris in the occlusal aspect of the fissures, and to provide space for a resin sealant "plug." Air abrasion also may be used to slightly open and to "clean" the enamel walls in occlusal portions of fissures. There are a variety of types of air abrasion units, with a wide range of prices. Even after "cleaning" a fissure with air abrasion, the enamel must be etched to provide adequate attachment and seal. [14–19]

Fig. 1. Decision tree for assessment and management of fissured tooth surfaces. The specific treatment for fissures is based in large part on the individual patient's level of caries risk.

J.B. Summitt / Dent Clin N Am 46 (2002) 171-184

The use of dentin bonding agents or systems after etching to improve resin penetration into the fissure and enhance the seal has been demonstrated in several laboratory studies. [20–23] A clinical study [24] compared the longevity of a sealant that used a primer-dryer to a sealant that did not and found the sealants that used the primer-dryer to have significantly better retention at 2 years (96.3% complete retention with no carious fissures versus 91.4% and one carious fissure). Based on these studies and others, many investigators are recommending the use of a bonding agent for sealing all fissures.

Preparations for amalgam restorations

Because the 10-year clinical study by Mertz-Fairhurst et al. [3] demonstrated so clearly that minimal amalgam restorations used with fissure sealants perform better than traditional, extension-for-prevention, amalgam restorations, it is recommended that, for initial carious lesions, the carious dentin and overlying unsupported enamel be removed, opposing occlusally converging walls be created in some area or areas of the preparation, then amalgam be placed, and remaining caries-susceptible fissures be sealed. When remaining fissures in the tooth being restored have a morphology that makes them susceptible to the occurrence of fissure caries, they should be minimally prepared for sealing using a small bur or air abrasion to remove stains, demineralized enamel, and debris.

This procedure is relatively straightforward for occlusal amalgam restorations (Fig. 2A–C). For a carious lesion in a proximal surface, sound tooth structure (enamel and its sound dentin support) often must be removed

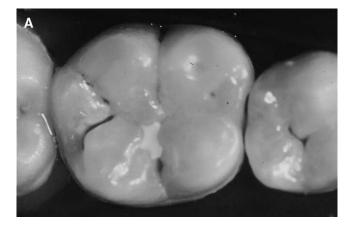


Fig. 2. Class 1 occlusal amalgam restoration, mandibular left first molar. (A) Pre-operative photograph; carious dentin has been detected only in fissure in the distal portion of the occlusal surface; (B) Preparation; the carious dentin and overlying unsupported enamel have been removed, and remaining fissures have been opened slightly with a small round bur for sealing; (C) Amalgam has been condensed and carved; and, after etching, a primer and a flowable resin composite have been applied to seal remaining fissures and margins of amalgam.

176

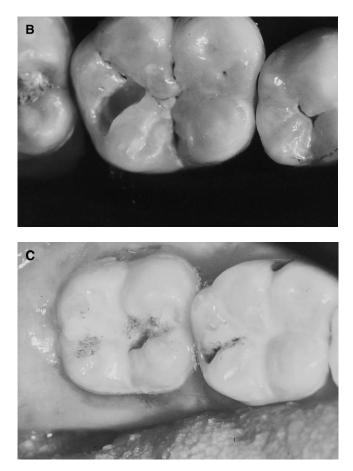


Fig. 2 (continued)

to gain access to the lesion. This can be accomplished by using facial or lingual access or by using occlusal access (Fig. 3A-C).

Several studies on the proximal slot amalgam restoration, with occlusal access, have been carried out. For the most part, these have been laboratory studies [25–27]; they have demonstrated that definite undercut retention for slot restorations is of utmost importance. At least one clinical study has shown complete success of slot amalgam restorations at 5 to 7 years. [28] This is the type of restoration recommended to treat initial proximal surface caries lesions. If there are separate fissure caries lesions in the same tooth, unless these lesions are immediately adjacent to the marginal ridge, they should be treated separately from the proximal slot restoration.

A slot-type restoration with facial or lingual access has been advocated by several authors [29,30] and in many cases is the most conservative type of restoration. When the carious lesion involves the interproximal contact area or

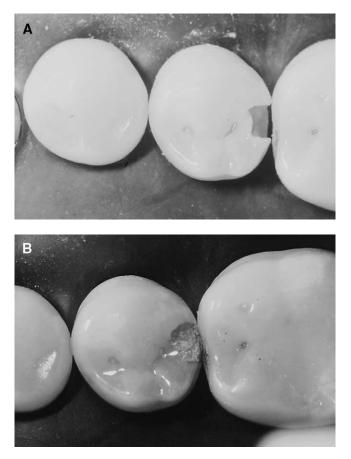


Fig. 3. Class 2 disto-occlusal slot amalgam restoration, mandibular right second premolar. (A) Preparation; (B) Amalgam has been condensed and carved and the fissure has been sealed with a clear fissure sealant; (C) Restoration after two years of service; note that resin no longer covers margins of the restoration.

when an extensive amount of sound tooth structure would have to be removed to gain access to the lesion, occlusal access is preferred, however.

The faciolingual widening of the class II preparation with occlusal access, to separate the margins of the proximal box from the adjacent tooth, has been taught in dental schools and described in textbooks for years. No study could be found to support this procedure. One clinical study [31] compared a 0.50-mm and a 0.25-mm separation and found no difference in performance after 4 years.

Preparations for resin composite restorations

As for amalgam restorations, the procedure for resin composite restorations undertaken because of initial carious lesions should include removal of



Fig. 3 (continued)

carious dentin and overlying unsupported enamel, placement of bonded resin composite, and protection of remaining fissures with sealant. Unlike preparations for amalgam restorations, in preparations for resin composite restorations some unsupported enamel that is not subject to occlusal loading may be left, because some enamel may be supported by bonded composite. In addition, unlike preparations for amalgam, undercut mechanical retention is not necessary because resin composite is bonded. As with amalgam restorations, the decision whether to open fissures before sealing should be based on the fissure morphology and the condition of the fissure walls.

For occlusal resin composite restorations performed because of initial carious lesions, a design similar to that for amalgam is used. That is, the carious dentin and overlying unsupported enamel are removed, resin composite is bonded, and the remaining fissures are etched and sealed (Fig. 4A–C). This *preventive resin restoration* concept was shown by Simonsen [32] to perform well over 3 years. Other clinical studies have given more evidence to the reliability of preventive resin restorations. [33–35]

For class II resin composite restorations necessitated by initial carious lesions, slot-type preparations, with access from occlusal (Fig. 5A–D), facial, or lingual aspects should be used, just as they should be used for amalgam. A difference is that no undercut retention form is necessary because of the bonding of the resin composite to the walls of the preparation. [36] Clinical evidence to support the proximal slot preparation for resin composite is somewhat scarce, but the investigators in 5-year clinical study [37] of class II resin composite restorations have stated that the slot restorations are performing well, with little evidence of wear and virtually no failures.

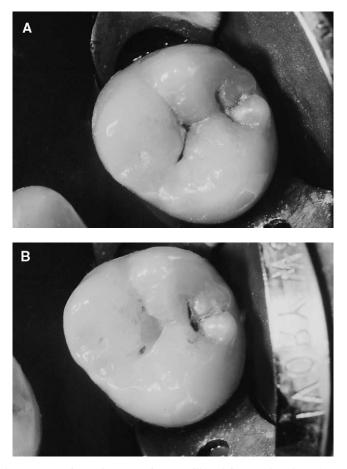


Fig. 4. Class 1 preventive resin restoration, maxillary left second molar. (A) Preoperative photograph; carious dentin has been detected only in the fissure in central fossa; (B) Preparation; the carious dentin and overlying unsupported enamel have been removed, remaining fissures opened slightly with a small round bur for sealing; (C) Completed restoration and sealed fissures.

Tunnel restorations

A glass ionomer of resin-modified glass ionomer material, instead of resin composite, is usually used for at least the proximal and internal aspects of tunnel restorations, and resin composite is used to fill the occlusal access preparation. Many practitioners attest to the clinical reliability of the tunnel restoration. Studies, however, have demonstrated that sound dentin near the pulp must be removed in performing the tunnel preparation, [38,39] and several clinical studies have shown a fairly high failure rate just a few years after placement of tunnel restorations. [28,40,41] Before this procedure is widely



Fig. 4 (continued)



Fig. 5. Class 2 disto-occlusal and Mesio-occlusal slot resin composite restorations, maxillary right second premolar. (A) Preoperative photograph; (B) Slot preparations; (C) Completed restorations prior to dam removal; (D) Restorations after three years of service.

accepted, evidence is needed to support proponents' claims that it can provide a long-lasting restorative service.

Conclusion

As predicted by Dr. Black in 1896, the day has arrived when we can practice "preventive, rather than reparative dentistry." [1] It is up to practitioners and educators to work to bring about the widespread use of the techniques available to us. Carious lesions should be treated nonsurgically when possible,

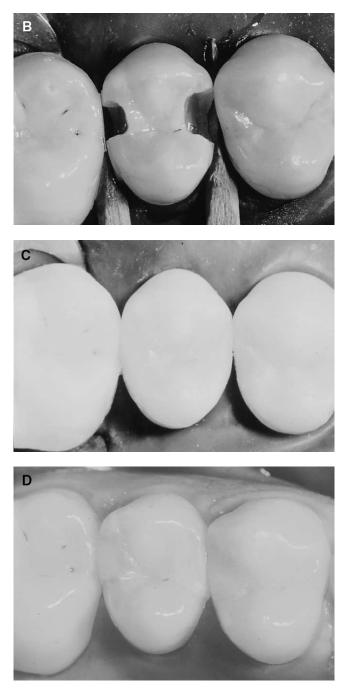


Fig. 5 (continued)

with patient education and with myriad fluorides, antimicrobials, and gums that encourage remineralization. Where restorative intervention is required, the most conservative "reparative" procedures should be used.

References

- [1] Ring ME. Dentistry, an illustrated history. New York: Abrams; 1985. p 274-7.
- [2] Suddick RP, Dodds MWJ. Caries activity estimates and implications: insights into risk versus activity. J Dent Educ 1997;61:875–83.
- [3] Mertz-Fairhurst EJ, Curtis JW, Ergle JW, Rueggeberg FA, Adair SM. Ultraconservative and cariostatic sealed restorations: results at year 10. J Am Dent Assoc 1998;129:55–66.
- [4] Handelman SL, Leverett DH, Iker HP. Longitudinal radiographic evaluation of the progress of caries under sealants. J. Pedodont 1985;9:119–26.
- [5] Handelman SL, Washburn F, Wopperer P. Two-year report of sealant effect on bacteria in dental caries. J Am Dent Assoc 1976;93:967–70.
- [6] Jensen OE, Handelman SL. Effect of an autopolymerizing sealant on viability of microflora in occlusal dental caries. Scand J Dent Res 1980;88:382–8.
- [7] Mertz-Fairhurst EJ, Schuster GS, Williams JE, Fairhurst CW. Clinical progress of sealed and unsealed caries. Part I: depth changes and bacterial counts. J Prosthet Dent 1979; 42:521–6.
- [8] Xie J, Flaitz CM, Hicks MJ, Powers JM. Bond strength of composite to caries-like enamel lesions. J Dent Res 1995;74(SI):32.
- [9] Kramer PF, Zelante F, Simiionato MRL. The immediate and long-term effects of invasive and noninvasive pit and fissure sealing techniques on the microflora in occlusal fissures of human teeth. Pediatr Dent 1993;15:108–12.
- [10] De Craene GP, Martens LC, Dermaut LR, Surmount PAS. A clinical evaluation of a lightcured fissure sealant (Helioseal). J Dent Child 1989;56:99–102.
- [11] La Bell Y, Forsten L. Sealing of preventively enlarged fissures. Acta Odontol Scand 1980; 38:101–4.
- [12] Lygidakis NA, Oulis KI, Christodoulidis A. Evaluation of fissure sealants retention following four different isolation and surface preparation techniques: four years clinical trial. J Clin Pediatr Dent 1994;19:23–5.
- [13] Shapira J, Eidelman E. Six-year clinical evaluation of fissure sealants placed after mechanical preparation: a matched pair study. Pediatr Dent 1986;8:204–5.
- [14] Berry EA, Ward M. Bond strength of resin composite to air-abraded enamel. Quintessence Int 1995;26:559–62.
- [15] Eakle WS, Adair KS. Sealant microleakage after air abrasion, phosphoric or nitric acid etching [abstract 3081]. J Dent Res 1997;76(SI):399.
- [16] Eakle WS, Wong J, Huang H. Microleakage with microabrasion versus acid etched enamel and dentin [abstract 160]. J Dent Res 1995;74(SI):31.
- [17] Horgesheimer JJ, Haws SM, Kanellis MJ, Vargas MA. Composite shear bond strength to air-abraded enamel [abstract 162]. J Dent Res 1995;74(SI):32.
- [18] Roeder LB, Berry EA, Youu C, Powers JM. Bond strength of composite to air-abraded enamel and dentin. Oper Dent 1995;20:186–90.
- [19] Valentino MF, Nathanson D. Evaluation of an air-abrasion preparation system for bonded restorations [abstract 878]. J Dent Res 1996;75(SI):127.
- [20] Donaldson J, Gallo J, Xu X, Burgess JO. Sealant leakage and penetration to wet and dry enamel [abstract 2089]. J Dent Res 1998;77(SIB):893.
- [21] Grande RHM, Ballester RY, Singer JDM, Santos JFF. Microleakage of a universal adhesive used as a fissure sealant. Am J Dent 1998;11:109–13.
- [22] Hitt JC, Feigal RJ. Use of a bonding agent to reduce sealant sensitivity to moisture contamination: an in vitro study. Pediatr Dent 1992;14:41-6.

- [23] Symons AL, Chu C-Y, Meyers IA. The effect of fissure morphology and pretreatment of the enamel surface on penetration and adhesion of fissure sealants. J Oral Rehab 1996;23:791–8.
- [24] Boksman L, Carson B. Two-year retention and caries rates of UltraSeal XT and Fluoro-Shield light-cured pit and fissure sealants. J Acad Gen Dent 1998;46:184–6.
- [25] Crockett WD, Shepard FE, Moon PC, Creal AF. The influence of proximal retention grooves on the retention and resistance of Class II preparations for amalgam. J Am Dent Assoc 1975;91:1053–6.
- [26] Sturdevant JR, Taylor DF, Leonard RH, Roberson TM, Wilder AD. Conservative preparation designs for Class II amalgam restorations. Dent Mater 1987;3:144–8.
- [27] Summitt JB, Osborne JW, Burgess JO. Effect of grooves on resistance/retention form of Class 2 approximal slot amalgam restorations. Oper Dent 1993;18:209–13.
- [28] Lumley PJ, Fisher FJ. Tunnel restorations: a long-term pilot study over a minimum of five years. J Dent 1995;23:213–5.
- [29] Battock RD, Rhoades J, Lund MR. Management of proximal caries on the roots of posterior teeth. Oper Dent 1979;4:108–12.
- [30] Summitt JB, Osborne JW. Amalgam restorations. In: Summitt JB, Robbins JW, Schwartz RS, editors. Fundamentals of operative dentistry: a contemporary approach. 2nd ed. Chicago: Quintessence; 2001. p. 320.
- [31] Thomas AE. Evaluation of principles of cavity preparation design. Ala J Med Sci 1983; 20:379–382.
- [32] Simonsen RJ. Preventive resin restorations: three-year results. J Am Dent Assoc 1980; 100:535–9.
- [33] Cloyd S, Gilpatrick RO, Moore D. Preventive resin restorations vs. amalgam restorations: a three-year clinical study. J Tenn Dent Assoc 1997;77:36–40.
- [34] Gray GB. An evaluation of sealant restorations after 2 years. Br Dent J 1999;186:569–75.
- [35] Houpt M, Eidelman E, Shey Z, Fuks A, Chosak A, Shapira J. The composite/sealant restoration: five-year results. J Prosthet Dent 1986;55:164–8.
- [36] Summitt JB, Della Bona A, Burgess JO. The strength of Class II composite resin restorations as affected by preparation design. Quintessence Int 1994;25:251–7.
- [37] Burgess JO, Summitt JB, Robbins JW, Haveman CW, Nummikoski P. Clinical evaluation of base, sandwich and bonded Class 2 composite restorations. J Dent Res 2001;80(SI):91.
- [38] Papa J, Cain C, Messer HH, Wilson PR. Tunnel restorations versus Class II restorations for small proximal lesions: a comparison of tooth strengths. Quintessence Int 1993;24:93–8.
- [39] Strand GV, Tveit AB. Effectiveness of caries removal by the partial tunnel preparation. Scand J Dent Res 1993;101:270–3.
- [40] Pilebro CE, van Dijken JWV, Stenberg R. Durability of tunnel restorations in general practice: a three-year multicenter study. Acta Odontol Scand 1999;57:35–9.
- [41] Strand GV, Nordbo H, Tveit AB, Espelid I, Wikstrand K, Eide GE. A 3-year clinical study of tunnel restorations. Eur J Oral Sci 1996;104:384–9.