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Gene Therapeutics for Periodontal Regenerative Medicine

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Current knowledge about the pathogenesis of periodontal diseaseobtained mainly from the results of animal experiments, analysis of periodontal histopathology, epidemiologic studies, and clinical trials-describes a complex and multifactorial etiology [1]. Generally, the extent and severity of periodontitis increases with age and relates to the control of pathogens associated with dental plaque biofilms [2]. Periodontal disease is found with high prevalence and variability, with few individuals experiencing advanced destruction [3]. Periodontal disease is characterized by an inflammatory reaction of periodontal tissues that leads to destruction of toothassociated structures, including alveolar bone, tooth root cementum, and periodontal ligament (PDL) [4]. Current views consider a course of the disease that is chronic with brief episodes of localized exacerbation and remission [5,6]. Consequently, if the disease is left untreated, tooth loss can occur. Despite the remarkably high standards of professional periodontal care currently available, incomplete adult dentitions or edentulism are still evident in the United States and worldwide populations.

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A challenge faced by periodontal therapy is the predictable regeneration of periodontal tissues lost as a consequence of disease, including alveolar bone, PDL, and cementum. Thus far, the ability to regenerate completely the damaged periodontal supporting structures has not been achieved in humans. The application of various regenerative biomaterials, such as bone autografts, allografts, cell occlusive barrier membranes used in guided tissue regeneration procedures, applications of growth factors (eg, enamel matrix proteins), or their combinations, have been pursued with varying degrees of success to regenerate lost tooth support, however [7]. Examples of currently available regenerative biomaterials are shown in Table 1 [8–37]. In summary, these therapeutic measures are shown to be limited in the predictability of healing and regenerative response in modern clinical practice, because the oral environment presents several complicating factors that border regeneration: (1) Periodontal wounds are contaminated with toothassociated biofilms of anaerobic bacteria. (2) Transmucosal hard-soft tissue

Table 1

Various biomaterials available for clinical periodontal regenerative therapy

Regenerative biomaterials	Components	References
Bone allografts	Demineralized freeze dried	Gurinsky et al, 2004 [8]
	bone allograft	Kimble et al, 2004 [9]
		Trejo et al, 2000 [10]
Bone xenografts	Bovine mineral matrix	Hartman et al, 2004 [11]
		Camelo et al, 2001 [12]
		Mellonig, 2000 [13]
		Nevins et al, 2000 [14]
		Richardson et al, 1999 [15]
Bone alloplast grafts	Beta tricalcium phosphate	Palti and Hoch, 2002 [16]
		Scher et al, 1999 [17]
		Nery et al, 1992 [18]
	Bioactive glass	Sculean et al, 2005 [19]
		Reynolds et al, 2003 [20]
		Trombelli et al, 2002 [21]
		Fetner et al, 1994 [22]
Nonresorbable cell occlusive barrier membranes	Polytetrafluorethylene	Trombelli et al, 2005 [23]
		Moses et al, 2005 [24]
		Murphy and Gunsolley, 2003 [25]
		Needleman et al, 2002 [26]
Resorbable cell occlusive barrier membranes	Polyglycolide/polylactide (synthetic)	Minenna et al, 2005 [27]
		Stavropoulos et al, 2004 [28]
		Parashis et al, 2003 [29]
	Collagen membrane	Sculean et al, 2005 [30]
	(xenogen)	Owczarek et al, 2003 [31]
		Camelo et al, 1998 [32]
Enamel proteins	Enamel matrix derivative	Rasperini et al, 2005 [33]
		Rosing et al, 2005 [34]
		Sanz et al, 2004 [35]
		Francetti et al, 2004 [36]
		Tonetti et al, 2002 [37]

environment allows entry of pathogens into wounds. (3) Multiple junctional complexes and stromal-cellular interactions create difficulty in rebuilding tissue interfaces (eg, tooth-PDL-bone and epithelial-connective tissue-bone). (4) The effects of occlusal forces deliver intermittent loads in axial and transverse dimensions [38,39].

The role of growth factors used in periodontal regenerative medicine

After periodontal therapy (eg, deep scaling or periodontal flap surgery), a blood coagulum forms at the wound site and releases tissue growth factors locally, such as platelet-derived growth factor (PDGF) and transforming growth factor- β from degranulating platelets [40,41]. These mitogenic polypeptides attract mesenchymal cells and fibroblasts to migrate into the periodontal wound and stimulate their proliferation [42]. The continuing process of periodontal tissue repair is followed by the formation of granulation tissue as a source for future periodontal connective tissue cells, such as osteoblasts, PDL fibroblasts, and cementoblasts [43]. For alveolar bone regeneration, mesenchymal cells are induced into osteoprogenitor cells by locally expressed bone morphogenetic proteins (BMPs) [44,45].

Wound-healing approaches that use growth factors to target restoration of tooth-supporting bone, PDL, and cementum can advance greatly the field of periodontal regenerative medicine. A major focus of periodontal research has evaluated the impact of growth factor applications on periodontal tissue regeneration [39,46–48]. Articles describe various delivery systems and applications of growth factors, which are highlighted in Table 2. Advances in molecular cloning have made available unlimited quantities of recombinant growth factors for applications in tissue engineering. Recombinant growth factors known to promote skin and bone wound healing, such as PDGFs [49–53], insulin-like growth factors [46,50,54,55], fibroblast growth factors [56–60], and BMPs [61–65], have been used in preclinical and clinical

Growth factor	Effects
Platelet-derived growth factor	Migration, proliferation, and noncollagenous matrix synthesis of mesenchymal cells
Insulin-like growth factor-1	Cell migration, proliferation, differentiation, and matrix synthesis
Fibroblast growth factor-2	Proliferation and attachment of endothelial cells and PDL cells
Transforming growth factor-beta	Proliferation of cementoblasts and PDL fibroblasts
Bone morphogenetic protein	Differentiation of osteoblasts, differentiation of PDL cells into osteoblasts
Enamel matrix derivative	Proliferation, protein synthesis, and mineral nodule formation in PDL cells, osteoblasts, and cementoblasts

Effects of growth factors used for periodontal tissue engineering

Table 2

trials for the treatment of large periodontal or intrabony defects and around dental implants [66,67].

Biologic effects of growth factors: platelet-derived growth factors

PDGF is a member of a multifunctional polypeptide family that binds to two cell membrane tyrosine kinase receptors (PDGF-R α and PDGF-R β) and subsequently exerts its biologic effects on cell proliferation, migration, extracellular matrix synthesis, and anti-apoptosis [68–71]. PDGF- α and - β receptors are expressed in regenerating periodontal soft and hard tissues [72]. PDGF also initiates tooth-supporting PDL cell chemotaxis [73], mitogenesis [74], matrix synthesis [75], and attachment to tooth dentinal surfaces [76]. More importantly, in vivo application of PDGF alone or in combination with insulin-like growth factor-1 results in partial repair of periodontal tissues [49,50,55,77,78]. PDGF has been shown to have a significant regenerative impact on PDL cells and osteoblasts [42,52,74,79].

Biologic effects of growth factors: bone morphogenetic proteins

BMPs are multifunctional polypeptides that belong to the transforming growth factor- β superfamily of proteins [80]. The human genome encodes at least 20 BMPs [81]. BMPs bind to type I and II receptors that function as serine-threonine kinases. The type I receptor protein kinase phosphorylates intracellular signaling substrates called Smads (Sma gene in C elegans and Mad gene in *Drosophila*). The phosphorylated BMP-signaling Smads enter the nucleus and initiate the production of bone matrix proteins leading to bone morphogenesis. The most remarkable feature of BMPs is the ability to induce ectopic bone formation [82]. BMPs not only are powerful regulators of cartilage and bone formation during embryonic development and regeneration in postnatal life but also participate in the development and repair of other organs, such as the brain, kidney, and nerves [83]. Studies have demonstrated the expression of BMPs during tooth development and periodontal repair, including alveolar bone [84,85]. Investigations in animal models have shown the potential repair of alveolar bony defects using recombinant human BMP-12 (rhBMP-12) [63] or rhBMP-2 [86,87]. In a recent clinical trial by Fiorellini and colleagues [88], rhBMP-2 delivered by a bioabsorbable collagen sponge revealed significant bone formation in a human buccal wall defect model after tooth extraction when compared with collagen sponge alone. BMP-7, also known as osteogenic protein-1, stimulates bone regeneration around teeth and endosseous dental implants and in maxillary sinus floor augmentation procedures [56,89,90].

Clinical applications of growth factors for use in periodontal regeneration

In general, the impact of a topical delivery of growth factors to periodontal wounds has shown to be promising yet insufficient for the promotion of predictable periodontal tissue engineering [48,51]. Growth factor proteins, once delivered to the target site, tend to suffer from instability and quick dilution, presumably because of proteolytic breakdown, receptor-mediated endocytosis, and solubility of the delivery vehicle [39]. Because their halflives are significantly reduced, the period of exposure may not be sufficient to act on osteoblasts, cementoblasts, or PDL cells. Different methods of growth factor delivery must be considered [91].

Investigations for periodontal bioengineering have examined various methods of combining delivery vehicles (eg, scaffolds) with growth factors to target the defect site to optimize bioavailability [92]. The scaffolds are designed to optimize the dosage of the growth factor and control its release pattern, which may be pulsatile, constant, or time programmed [93]. The kinetics of the release and the duration of the exposure of the growth factor also may be controlled [94].

A new polymeric system was reported in an animal study by Richardson and colleagues [95] that enabled the tissue-specific delivery of two or more growth factors, with a controlled dose and rate of delivery. The dual delivery of vascular endothelial growth factor (VEGF) together with PDGF from a single, structural polymer scaffold results in the rapid formation of a mature vascular network.

Gene therapy methods investigated for periodontal tissue regeneration

The single administration of purified tissue growth factors has not been shown to be clinically effective in supporting the horizontal regeneration of periodontal tissue breakdown. This may be caused by insufficient capabilities to maintain therapeutic protein levels at the wound site and the three-dimensional architecture of the defects. Gene transfer methods may circumvent many of the limitations with protein delivery to soft tissue wounds [96,97]. The application of growth factors [45,98–100] or soluble forms of cytokine receptors [101] by gene transfer provides a greater sustainability than that of single protein application. Gene therapy may achieve greater bioavailability of growth factors within periodontal wounds, which may provide greater regenerative potential (Fig. 1).

Gene delivery methods

In general, gene therapy involves the transfer of genetic information to target cells, which enables them to synthesize a protein of interest to treat disease [102–104]. The technology can be used to treat disorders that result from single point mutations [105] or to increase protein production [106]. The preferred strategy for gene transfer depends on the required duration of protein release and the morphology of the target site. Gene transfer is accomplished through the use of viral and nonviral vectors. Examples of viral vectors are retroviruses, adenoviruses (Ad) and adeno-associated viruses



Fig. 1. Approaches for regenerating tooth-supporting structures. (*A*) Guided tissue regeneration uses a cell occlusive barrier membrane to restore periodontal tissues. (*B*) Alternatively, an example of gene therapy uses vector-encoding growth factors aimed at stimulating the regeneration of host cells derived from the periodontium.

(AAV), and nonviral vectors include plasmids and DNA polymer complexes (Table 3) [107].

Retroviruses introduce RNA together with two enzymes, called reverse transcriptase and integrase, into the target cell. Initially, the reverse transcriptase enables the production of a DNA copy from the retrovirus RNA molecule. Subsequently, the integrase adds the DNA copy into the target cell DNA. When the genetically altered host cell divides later, its descendants contain the modified DNA. Because the integrase enzyme may insert the DNA copy into an arbitrary position of the target cell DNA, gene disruption and uncontrolled cell division (ie, cancer) may occur [107].

Ad contains DNA, which is introduced into the target cell and subsequently transferred into its nucleus. In contrast to the fate of the retrovirus DNA copy, the Ad-DNA is not incorporated into the host cell's genetic material. Consequently, when the Ad-infected target cell divides later, its descendants are not genetically altered, nor do they contain the Ad-DNA genetic material [108]. AAV derive from the parvovirus family and are small viruses with a single-stranded DNA genome that causes no known human diseases [107–109]. The AAV infects dividing and nondividing cells by integrating its genetic material on chromosomes of the target cell. Types of recombinant AAV have been developed either

Vector	Туре	Advantages/disadvantages
Retrovirus	Viral	Advantages:
		Nonimmunogenic
		Disadvantages:
		Infects only dividing cells
		Insertional mutagenesis
Adenovirus	Viral	Advantages:
		Infects dividing and nondividing cells
		Does not integrate into target cell genome
		Disadvantages:
		Potentially immunogenic
Adeno-associated virus	Viral	Advantages:
		Infects dividing and nondividing cells
		Low immunogenicity
		Nonpathogenic in human
		Disadvantages:
		Difficult to produce at high titers
		Small transgenes
Plasmid	Nonviral	Advantages:
		Nonimmunogenic
		Nonpathogenic
		Disadvantages:
		Low transduction efficiency
DNA polymer complexes	Nonviral	Advantages:
		Infects dividing and nondividing cells
		Cell-specific targeting
		Disadvantages:
		Low transduction efficiency

Table 3 Viral and nonviral gene therapy vectors used in tissue engineering

to remain extrachromosomal or integrate into nonspecific chromosomal sites. Research has demonstrated that the AAV can be used to correct genetic defects in animals [107,110]. One disadvantage of the AAV is that it is small and possesses the capacity to carry no more than usually two genes [109].

Because nonviral alternatives do not have the drawbacks of undesired host immune reactions or potential tumorigenesis, they likely will be given more consideration in the future. Plasmids and DNA polymer complexes carry the genetic information in the form of DNA to express a foreign protein. Design features of nonviral delivery of DNA match various requirements, such as chromosomal integration or the ability to alter gene expression [107].

Gene therapy for periodontal tissue engineering

Various gene delivery methods are available to administer growth factors to periodontal defects and offer great flexibility for tissue engineering (Fig. 2). The delivery method can be tailored to the specific characteristics



Fig. 2. The current paradigm of gene therapy used in periodontal tissue engineering. Approaches consider (A) methods of delivery, (B) gene therapy vector, (C) tissue growth factor, (D) cellular target receptors, and (E) local effect. The choice of delivery method, DNA vector, and growth factor should maximize expected effect, minimize patient risk, and reflect the characteristics of the wound site.

of the wound site. For example, a horizontal one- or two-walled defect may require the use of a supportive carrier, such as a scaffold. Other defect sites may be conducive to the use of an Ad vector embedded in a collagen matrix.

More important from a clinical point of view is the risk associated with the use of gene therapy in periodontal tissue engineering [111]. As with maximizing growth factor sustainability and accounting for specific characteristics of the wound site, the DNA vector and delivery method must be considered when assessing patient safety. In summary, studies that examine the use of specific delivery methods and DNA vectors in periodontal tissue engineering reflect the aim to maximize the duration of growth factor expression, optimize delivery method to periodontal defect, and minimize patient risk.

Recently, a combination of an AAV-delivered angiogenic molecule such as VEGF, BMP signaling receptor (caALK2), and RANKL (receptor activator of nuclear factor kappa B ligand) were demonstrated to promote bone allograft turnover and osteogenesis as a mode to enrich human bone allografts [112]. To date, combinations of VEGF/BMP [113] and PDGF/ VEGF [95] have been performed with highly positive synergistic responses in bone repair. Promising preliminary results from preclinical studies reveal that host modulation achieved through gene delivery of soluble proteins, such as tumor necrosis factor receptor-1, reduces tumor necrosis factor activity and inhibits alveolar bone loss [101]. These results are comparable to the findings in the research on rheumatoid arthritis, in which pathogenesis, including high tumor necrosis factor activity and pathways for bone resorption, is similar [114].

Preclinical studies that evaluate growth factor gene therapy for tissue engineering

To overcome the short half-lives of growth factor peptides in vivo, gene therapy that uses a vector that encodes the growth factor is utilized to stimulate tissue regeneration. So far, two main strategies of gene vector delivery have been applied to periodontal tissue engineering. Gene vectors can be introduced directly to the target site (in vivo technique) [99], or selected cells can be harvested, expanded, genetically transduced, and then reimplanted (ex vivo technique) (Fig. 3) [98]. In vivo gene transfer involves the insertion of the gene of interest directly into the body anticipating the genetic modification of the target cell. Ex vivo gene transfer includes the incorporation of genetic material into cells exposed from a tissue biopsy with subsequent reimplantation into the recipient.

Platelet-derived growth factor gene delivery

The application of PDGF-gene transfer strategies to tissue engineering originally was generated to improve healing in soft tissue wounds, such as skin lesions [115]. Plasmid [116] and Ad/PDGF gene delivery [117] have been evaluated in preclinical and human trials. The latter approach has been able to exhibit more safety favorable for clinical use, however. [111].

Early studies in dental applications using recombinant adenoviral vectors that encode PDGF demonstrated the ability of these vector constructs to



Fig. 3. Gene delivery approaches for periodontal tissue engineering. (*A*) Ex vivo gene delivery involves the harvesting of tissue biopsies, expansion of cell populations, genetic manipulations of cells, and subsequent transplantation to periodontal osseous defects. (*B*) The in vivo gene transfer approach involves the direct delivery of growth factor transgenes to the periodontal osseous defects.

transduce potently the cells isolated from the periodontium (eg, osteoblasts, cementoblasts, PDL cells, and gingival fibroblasts) [118,119]. These studies revealed the extensive and prolonged transduction of periodontal-derived cells. Chen and Giannobile [120] were able to demonstrate the prolonged effects of Ad delivery of PDGF for the better understanding of sustained PDGF signaling. An ex vivo investigation by Anusaksathien and colleagues [121] showed that the expression of PDGF genes was prolonged for up to 10 days in gingival wounds. Ad encoding PDGF-B transduced gingival fibroblasts and enhanced defect fill by induction of human gingival fibroblast migration and proliferation. On the other hand, continuous exposure of cementoblasts to PDGF-a had an inhibitory effect on cementum mineralization, possibly via the upregulation of osteopontin and subsequent enhancement of multinucleated giant cells in cementum-engineered scaffolds. Ad/ PDGF-1308 (a dominant-negative mutant of PDGF) inhibited mineralization of tissue-engineered cementum possibly because of downregulation of bone sialoprotein and osteocalcin with a persistence of stimulation of multinucleated giant cells. These findings suggested that continuous exogenous delivery of PDGF-a may delay mineral formation induced by cementoblasts, whereas PDGF clearly is required for mineral neogenesis [122].

Jin and colleagues [99] demonstrated that direct in vivo gene transfer of PDGF-B stimulated tissue regeneration in large periodontal defects. Descriptive histology and histomorphometry revealed that human PDGF-B gene delivery promotes the regeneration of cementum and alveolar bone, whereas PDGF-1308, a dominant-negative mutant of PDGF-A, has minimal effects on periodontal tissue regeneration (Fig. 4).

Bone morphogenetic protein gene delivery

An experimental study in rodents by Lieberman and colleagues [123] demonstrated gene therapy for bone regeneration, with results revealing that the transduction of bone marrow stromal cells with rhBMP-2 lead to bone formation within an experimental defect comparable to skeletal bone. Another group was similarly able to regenerate skeletal bone by directly administering Ad5/BMP-2 into a bony segmental defect in rabbits [124]. Additional advances in the area of orthopedic gene therapy using viral delivery of BMP-2 have provided further evidence for the ability of in vivo and ex vivo bone engineering [125–128]. Franceschi and colleagues [100] investigated in vitro and in vivo Ad gene transfer of BMP-7 for bone formation. Ad-transduced nonosteogenic cells also were found to differentiate into bone-forming cells and produce BMP-7 [45] or BMP-2 [125] in vitro and in vivo. In another study by Huang and colleagues [129], plasmid DNA encoding for BMP-4 administered with a scaffold delivery system was found to enhance bone formation when compared with blank scaffolds.

In an early approach to regenerate alveolar bone in an animal model, the ex vivo delivery of Ad-encoding murine BMP-7 was found to promote periodontal tissue regeneration in large mandibular periodontal bone defects



Fig. 4. In vivo gene transfer of PDGF-B stimulates periodontal tissue regeneration. Histologic microphotographs of periodontal alveolar bone defects treated for 14 days after gene delivery of Ad/PDGF-B, Ad/PDGF-1308, or vector alone. (*A*, *C*, *E*, original magnification ×40; *B*, *D*, *F*, original magnification ×200.) Brackets in the low-power (×40) slides indicate alveolar bone wound edges, with no significant differences between the sizes of the defects based on histomorphometric analyses. Limited alveolar bone formation occurred in the Ad/PDGF-1308 and vector alone defects, whereas significant bone bridging was noted most extensively in sites treated with Ad/PDGF-B (*red dashed line*). (*F*) A thin layer of newly formed cementum (*black arrows*) was seen in the periodontal ligament region of the Ad/PDGF-B–treated lesions. Asterisks indicate the collagen carrier. (*Adapted from* Jin Q, Anusaksathien O, Webb SA, et al. Engineering of tooth-supporting structures by delivery of PDGF gene therapy vectors. Mol Ther 2004;9(4):522; with permission.)

[98]. BMP-7 gene transfer not only enhanced alveolar bone repair but also stimulated cementogenesis and PDL fiber formation. Of interest, the alveolar bone formation was found to occur via a cartilage intermediate. When genes that encoded the BMP antagonist noggin were delivered, inhibition of periodontal tissue formation resulted [130]. A recent study by Dunn and colleagues [131] showed that direct in vivo gene delivery of Ad/BMP-7 in a collagen gel carrier promoted successful regeneration of alveolar bone defects around dental implants. These experiments provide promising

evidence that shows the feasibility of in vivo and ex vivo gene therapy for periodontal tissue regeneration and peri-implant osseointegration.

Future perspectives: targeted gene therapy in vivo

Major advances have been made over the past decade in the reconstruction of complex periodontal and alveolar bone wounds that have resulted from disease or injury. Developments in scaffolding matrices for cell, protein, and gene delivery have demonstrated significant potential to provide "smart" biomaterials that can interact with the matrix, cells, and bioactive factors. The targeting of signaling molecules or growth factors (via proteins or genes) to periodontia has led to significant new knowledge generation using factors that promote cell replication, differentiation, matrix biosynthesis, and angiogenesis. A major challenge that has been less studied is the modulation of the exuberant host response to microbial contamination that plagues the periodontal wound microenvironment. For improvements in the outcomes in periodontal regenerative medicine, scientists must examine dual delivery of host modifiers or anti-infective agents to optimize the results of therapy. Further advancements in the field will continue to rely heavily on multidisciplinary approaches that combine engineering, dentistry, medicine, and infectious disease specialists in repairing the complex periodontal wound environment.

Summary

This article highlights the active developments in the field of periodontal regenerative medicine. Significant advancements have been made within the areas of scaffold design to promote targeted delivery of cells, genes, and proteins to chronic periodontal wounds. Results from preclinical and early clinical studies are presented, with special emphasis on the use of growth factors to promote periodontal and peri-implant bone repair.

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